

www.Lehman-Docket.com

UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,
Debtors.

Chapter 11 Case No.

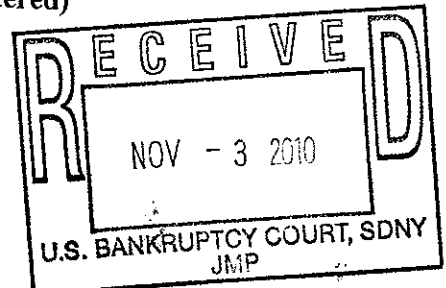
08-13555 (JMP)

(Jointly Administered)

LBH OMN158 10-13-2010 (MERGE2, TXNUM2) 4000003935 MAIL ID *** 0035611806 *** BSIUSE: 343

BENEDICT, SAMUEL
MICHAEL CARLIN, WEALTH MANAGEMENT, LLC
7098 E. COCHISE ROAD, SUITE 222
SCOTTSDALE, AZ 85253

480-483-3489



**THIS IS A NOTICE REGARDING YOUR CLAIM(S). YOU MUST READ IT
AND TAKE ACTION IF YOU DISAGREE WITH THE OBJECTION.**

**IF YOU HAVE ANY QUESTIONS ABOUT THIS NOTICE OR THE OBJECTION,
PLEASE CONTACT DEBTORS' COUNSEL, ERIK ENCARNACION, ESQ., AT 214-746-7700.**

**NOTICE OF HEARING ON DEBTORS' FIFTY-EIGHTH
OMNIBUS OBJECTION TO CLAIMS (NO SUPPORTING DOCUMENTATION CLAIMS)**

CLAIM TO BE DISALLOWED & EXPUNGED	
Creditor Name and Address: BENEDICT, SAMUEL MICHAEL CARLIN, WEALTH MANAGEMENT, LLC 7098 E. COCHISE ROAD, SUITE 222 SCOTTSDALE, AZ 85253	Claim Number: 3983 Date Filed: 4/27/2009 Debtor: 08-13555 Classification and Amount: UNSECURED: \$ 50,000.00

PLEASE TAKE NOTICE that, on October 13, 2010, Lehman Brothers Holdings Inc. and certain of its affiliates (collectively, the "Debtors") filed their Fifty-Eighth Omnibus Objection to Claims (No Supporting Documentation Claims) (the "Objection") with the United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").¹

The Objection requests that the Bankruptcy Court expunge, reduce, reclassify, and/or disallow your claim listed above under CLAIM TO BE DISALLOWED & EXPUNGED on the ground that said claim violates the Bankruptcy Court's July 2, 2009 order setting forth the procedures and deadlines for filing proofs of claim in these chapter 11 cases (the "Bar Date Order") [Docket No. 4271], as it does not include supporting documentation or an explanation as to why such documentation is unavailable. Any claim that the Bankruptcy Court expunges and disallows will be treated as if it had not been filed and you will not be entitled to any distribution on account thereof.

If you do NOT oppose the disallowance, expungement, reduction or reclassification of your claim listed above under CLAIM TO BE DISALLOWED & EXPUNGED, then you do NOT need to file a written response to the Objection and you do NOT need to appear at the hearing.

If you DO oppose the disallowance, expungement, reduction or reclassification of your claim listed above under CLAIM TO BE DISALLOWED & EXPUNGED, then you MUST file with the Court and serve on the parties listed below a written response to the Objection that is received on or before 4:00 p.m. Prevailing Eastern Time on November 15, 2010 (the "Response Deadline").

¹ A list of the Debtors, along with the last four digits of each Debtor's federal tax identification number, is available on the Debtors' website at <http://www.lehman-docket.com>.



Independent
Financial Group Inc

12638 High Bluff Drive, Suite 100
San Diego, CA 92131
P858-436-3186 F858-481-9036

SAMUEL J. BENEDICT &
KATHERINE BENEDICT JTWROS
10940 W. IRONWOOD HILLS DR.
CASA GRANDE AZ 85294-7283

Bar chart showing asset allocation percentages for the account.

Your Investment Professional:
MICHAEL CARLIN
(602) 468-3636

Brokerage Account Statement

Account Number: AGV-001922
Statement Period: 03/01/2008 - 03/31/2008

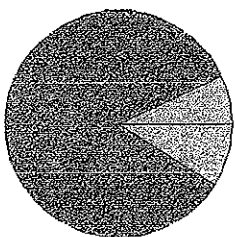
Valuation at a Glance

	This Period
Beginning Account Value	\$1,021,683.95
Cash Withdrawals	-5,864.63
Dividends/Interest	5,999.44
Change in Account Value	-11,009.16
Ending Account Value	\$1,016,809.60
Estimated Annual Income	\$37,734.38

See Page 6

Asset Allocation

	Last Period	Value	Percent
Cash and Cash Equivalents	79,610.24	95,580.05	9%
Fixed Income	878,444.22	852,097.21	84%
Equities	69,629.49	69,132.34	7%
Account Total (Pie Chart)	\$1,021,683.95	\$1,016,809.60	100%



Asset Allocation percentages are rounded to the nearest whole percentage.
Pie Chart allocation excludes all asset classes which net to a liability.

Customer Service Information

Your Investment Professional: B99		Contact Information		Customer Service Information	
MICHAEL CARLIN		Telephone Number: (602) 468-3636		Service Hours: Weekdays 08:00 a.m. - 05:00 p.m. PT	
2390 E CAMERBACK ROAD STE 216		Fax Number: (602) 468-9414		Customer Service Telephone Number: (619) 209-3559	
PHOENIX AZ 85016-3450		E-Mail Address: customerservice@fjgsd.com		Web Site: www.fjgsd.com	

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash and Cash Equivalents 9.00% of Portfolio									
Money Market									
FEDERATED CAPITAL RESERVES									
95,580.050	03/01/08	0000820558	03/31/08	79,610.24	95,580.05	0.00	677.98	2.64%	2.46%
Total Money Market:				\$79,610.24	\$95,580.05	\$0.00	\$677.98		
Total Cash and Cash Equivalents				\$79,610.24	\$95,580.05	\$0.00	\$677.98		

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income 84.00% of Portfolio (In CUSIP Sequence)									
U.S. Government Bonds									
FEDERAL HOME LN MTG CORP MEDIUM TERM NTS									
4,750%	12/08/10 B/E DTD 12/08/03								
CALLABLE 1ST CPN DTE 06/08/04									
Moody Rating AAA S & P Rating AAA									
Security Identifier 3128X2EV3									
45,000,000	12/01/04	101.0780	45,229.92	105.5000	47,475.00	2,245.08	670.94	2,137.50	4.50%
Original Cost Basis: \$45,485.00									
FEDERAL HOME LN BKS CONS BDS									
5,200%	11/20/14 B/E DTD 11/20/07								
CALLABLE 11/20/09 @ 100,000									
Moody Rating AAA S & P Rating AAA									
Security Identifier 3133XNVV0									
50,000,000	02/11/08	103.6300	51,782.94	104.0310	52,015.50	232.56	946.11	2,600.00	4.99%
Original Cost Basis: \$51,815.00									



Independent
Financial Group, Inc.
12833 High Bluff Drive, Suite 110
San Diego, CA 92130
P858-435-3180 F858-461-9033

Brokerage

Account Statement

Statement Period: 03/01/2008 - 03/31/2008

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income (continued)									
U.S. Government Bonds (continued)									
FEDERAL HOME LN BKS CONS BD									
5.250%	07/10/23 B/E	DTD 07/10/03							
CALIFORNIA 04/10/08 @ 100.000									
Moody Rating AAA S & P Rating AAA									
Security Identifier 31339V5B4									
50,000.000	02/14/08	99.7500	49,878.93	99.6680	49,844.00	-34.93	590.63	2,625.00	5.26%
Original Cost Basis: \$49,880.00									
Total U.S. Government Bonds									
145,000.000			\$146,891.79		\$149,334.50	\$2,442.71	\$2,207.68	\$7,362.50	
Asset Backed Securities									
3FNMA GTD REMIC PASS THRU CTF REMIC									
TR-1993-202CL-202-1 6.500% 11/25/23 B/E Factor: 0.71074730									
DTD 11/01/93									
Moody Rating AAA									
Security Identifier 31359F0A3									
50,000.000	06/20/05	104.7500	37,225.39	106.4220	37,819.58	594.19	192.49		
Original Cost Basis: \$52,375.00									
3FNMA GTD REMIC PASS THRU CTF REMIC									
TR-2005-57 CL-57 KA 5.500% 07/01/35 B/E Factor: 0.66394108									
DTD 06/01/05									
Security Identifier 31394FCE1									
60,000.000	06/17/05	101.5000	40,434.01	100.1580	39,899.41	-534.60	182.58		
Original Cost Basis: \$55,044.97									
3FHLMC MULTICLASS MITG PARTN CFS GTD									
SER-2806 CL-2806-CG 6.000% 06/15/34 B/E Factor: 1.00000000									
DTD 06/01/04 CLB									
Security Identifier 31395ACH6									
120,000.000	11/23/04	101.5000	121,800.00	100.3750	120,450.00	-1,350.00	600.00		
Original Cost Basis: \$121,800.00									



Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income (continued)									
Asset Backed Securities (continued)									
3FHL.MC MULTICLASS MTG PARTN CITS GTD									
SER-2877 CL-2877-JA 5.500% 10/15/16 B/E Factor: 0.77790010									
DTD 10/01/04 CLB									
Security Identifier: 31395HKX7									
100,000.000	11/23/04	102.8750	80,026.45	102.5790	79,796.21	-230.24	356.54		
Original Cost Basis: \$94,464.33									
3GNMA GTD REMIC PASS THRU CTF REMIC									
TR-2001-53 CL-53-PM 5.500% 12/20/31 B/E Factor: 0.54852475									
DTD 11/01/01									
Security Identifier: 38373TAP0									
20,000.000	01/25/06	100.0000	10,970.48	102.9130	11,290.07	319.59	50.28		
Original Cost Basis: \$20,000.00									
Total Asset Backed Securities			\$290,456.33		\$289,255.27	-\$1,201.06	\$1,361.89	\$0.00	
Municipal Bonds									
3INDIANA BD BK REV SCH SEVERANCE									
FDG-6-A 5.870% 07/15/17 B/E									
DTD 06/23/04 CALLABLE 07/15/14									
Moody Rating AAA S & P Rating AAA									
Security Identifier: 4546237R4									
25,000.000	11/29/04	105.7900	26,150.59	105.1830	26,295.75	145.16	309.81	1,467.50	5.58%
Original Cost Basis: \$26,447.50									
3VIRGINIA ST RES AUTH INFRASTRUCTURE REV									
POOLED LN BD PG-E 6.000% 05/01/12 B/E									
DTD 12/14/01 CALLABLE 05/01/10									
Moody Rating AA2 S & P Rating AA									
Security Identifier: 92817PDY7									
25,000.000	11/29/04	106.3240	25,939.89	104.8060	26,201.50	261.61	625.00	1,506.00	5.72%
Original Cost Basis: \$26,561.00									
Total Municipal Bonds			\$52,090.48		\$52,497.25	\$406.77	\$934.81	\$2,967.50	
Corporate Bonds									
3BOEING CAP CORP MEDIUM TERM SENIOR									
NOTES 6.500% 11/17/08 B/E									
DTD 11/16/98 1ST CPN DTE 03/15/99									
Moody Rating A2 S & P Rating A									
Security Identifier: 09700WBH6									



Independent
Financial Group Inc.
12333 High Bluff Drive, Suite 100
San Diego, CA 92130
P850-438-3180 F858-481-9003

Brokerage

Account Statement

Statement Period: 03/01/2008 - 03/31/2008

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income (continued)									
Corporate Bonds (continued)									
BOEING CAP CORP MEDIUM TERM SENIOR (continued)									
30,000.000	11/29/04	108.8750	30,448.43	101.6900	30,507.08	58.57	86.67	1,950.00	6.39%
Original Cost Basis: \$32,662.50									
3COUNTRYWIDE HOME LMS INC MEDIUM TERM NTS									
TRANSCHE # TR 00295 6.000% 01/24/18 B/E									
DTD 01/24/03 CALLABLE									
Moody Rating BAA3 S & P Rating BBB+									
Security Identifier 22237LNG3									
25,000.000	11/24/04	102.8810	25,582.99	74.6620	18,665.50	-6,917.49	279.17	1,500.00	8.03%
Original Cost Basis: \$25,720.20									
3GTE NORTHWEST INC 6.300% 06/01/10 B/E									
DTD 06/01/98 1ST CPN DTE 12/01/98									
CPN PMT SEM ANNUAL ON JUN 01 AND DEC 01									
Moody Rating A3 S & P Rating A									
Security Identifier 36233RAFO									
50,000.000	01/25/06	103.4200	50,899.16	100.0000	50,000.00	-899.16	1,050.00	3,150.00	6.30%
Original Cost Basis: \$51,710.00									
3GENERAL ELEC CAP CORP MED TERM NTS									
5.375% 12/15/19 B/E DTD 12/04/03									
CALLABLE 06/15/08 @ 100.000									
Moody Rating AAA S & P Rating AAA									
Security Identifier 36956RLD6									
40,000.000	06/17/05	100.8380	40,285.17	96.2330	38,493.20	-1,791.97	633.06	2,150.00	5.58%
Original Cost Basis: \$40,335.00									
3HOUSEHOLD FIN CORP INTERNOTES									
FLT RATE 6.580% 09/15/13 B/E									
DTD 09/30/03 1ST CPN DTE 10/20/03									
Moody Rating A3 S & P Rating AA-									
Security Identifier 44181EXR4									
40,000.000	11/23/04	104.2500	40,000.00	95.2010	38,080.40	-1,919.60	80.42	2,632.00	6.91%
Original Cost Basis: \$41,700.00									

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income (continued)									
Corporate Bonds (continued)									
INTERNATIONAL LEASE FIN CORP MEDIUM TERM									
NTS 4.850% 03/15/10 B/E									
DTD 05/03/07 1ST CPN DTE 06/15/07									
Moody Rating A1 S & P Rating AA-									
Security Identifier 45974EQP4									
70,000.000	03/04/08	101.5070	71,021.54	100.9020	70,631.40	-390.14	150.89	3,395.00	4.80%
Original Cost Basis: \$71,055.00									
LEHMAN BROS HLDGS INC SUB NT									
5.750% 01/03/17 B/E DTD 10/24/06									
CALLABLE 1ST CPN DTE 01/03/07									
Moody Rating A2 S & P Rating A									
Security Identifier 524908LJB4									
50,000.000	02/14/08	98.3010	49,157.48	91.7760	45,888.00	-3,269.48	702.78	2,875.00	6.26%
Original Cost Basis: \$49,150.50									
MIDLAND BK PLC SUB NTS									
ISIN#US597433AC57 7.650% 05/01/25 B/E									
DTD 05/10/95 CALLABLE									
Moody Rating AA2 S & P Rating AA-									
Security Identifier 597433AC5									
33,000.000	02/07/08	105.5150	34,814.66	101.8080	33,596.64	-1,218.02	1,051.88	2,524.50	7.51%
Original Cost Basis: \$34,820.00									
3PRINCIPAL LIFE INCOME FUNDINGS TRS									
SECD MEDIUM TERM NTS TRANCHE TR# 00042									
4.350% 08/16/10 B/E DTD 08/25/04									
Moody Rating AA2 S & P Rating AA									
Security Identifier 74254PBT8									
35,000.000	01/25/06	97.4780	34,514.04	100.4230	35,148.05	634.01	194.54	1,522.50	4.33%
Original Cost Basis: \$34,117.30									
Total Corporate Bonds									
373,000.000			\$376,723.47		\$367,070.19	-\$15,713.28	\$4,229.41	\$21,693.00	
4 Total Fixed Income									
568,000.000			\$866,162.07		\$852,097.21	-\$14,064.86	\$8,753.79	\$32,023.00	





12683 High Bluff Drive, Suite 110
San Diego, CA 92130
Phone: 436-3180 Fax: 481-9033

Brokerage

Account Statement

Statement Period: 03/01/2008 - 03/31/2008

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities 7.00% of Portfolio								
Preferred Stocks (listed by expiration date)								
BANK ONE CAP VI PFD SECS 7.20%								
Dividend Option: Cash								
Security Identifier: ONE PRW								
2,793,000	07/14/06	25.0710	70,022.58	24.7520	69,132.34	-\$890.24	5,027.40	7.27%
Total Preferred Stocks			\$70,022.58		\$69,132.34	-\$890.24	\$5,027.40	
Total Equities			\$70,022.58		\$69,132.34	-\$890.24	\$5,027.40	
Total Portfolio Holdings								
			\$1,031,764.70		\$1,016,809.60	-\$14,955.10	\$8,753.79	\$37,734.38

Cost basis on fixed income securities is adjusted for amortization, accretion or principal paydowns. Original cost basis is provided with the corresponding tax lot.

3 The cost basis of securities positions acquired prior to the availability of the PORTFOLIO EVALUATION SERVICE for this account, or delivered into this account, has been provided to PERSHING by your financial institution, and we make no representation as to the accuracy of such cost basis.

4 Total Quantity field does not include Asset Backed Securities.

Disclosures and Other Information

Pricing - Securities prices may vary from actual liquidation value. Prices shown should only be used as a general guide to portfolio value. Prices are received from various pricing services. However, pricing services are sometimes unable to provide timely information. Where pricing sources are not readily available, particularly for certain debt securities, estimated prices may be generated by a matrix system taking various factors into consideration. The pricing of listed options takes into account the last closing price, as well as the current bid and offer prices. Where securities have not been priced, such securities have not been included in the Asset Allocation information at the beginning of this statement.

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.



12835 High Bluff Drive, Suite 100
San Diego, CA 92130
P858-436-3180 P858-461-9033

Brokerage

Account Statement

Account Number: AGY-001922
Statement Period: 09/01/2010 - 09/30/2010

Valuation at a Glance

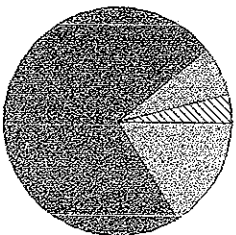
	This Period
Beginning Account Value	\$1,036,440.74
Cash Withdrawals	-3,722.99
Dividends/Interest	3,723.14
Fees	-0.25
Change in Account Value	103.42
Ending Account Value	\$1,036,544.06
Estimated Annual Income	\$28,504.83

Your Investment Professional:
MICHAEL CARLIN
(480) 483-3489

SAMUEL J. BENEDICT &
KATHERINE BENEDICT JTWROS
10940 W. IRONWOOD HILLS DR.
CASA GRANDE AZ 85294-7283

Asset Allocation

	Prior Year-End	Last Period	This Period	% Allocation
Cash, Money Funds and FDIC Deposits	169,893.65	169,567.20	170,761.19	16%
Fixed Income	740,595.47	755,523.58	753,195.36	73%
Equities	70,188.09	70,830.48	72,115.26	7%
Mutual Funds	39,055.49	40,519.48	40,472.25	4%
Account Total (Pie Chart)	\$1,019,732.70	\$1,036,440.74	\$1,036,544.06	100%



Asset Allocation percentages are rounded to the nearest whole percentage.
Pie Chart allocation excludes all asset classes which net to a liability.

See Page 5



Additional Information

	This Period
Securities Bought and Sold	\$0.00
Principal Payments	\$7,194.09

Summary of Gains and Losses

	This Period	Year-to-Date	Unrealized
Short-Term Gain/Loss	0.00	0.00	9,080.25
Long-Term Gain/Loss	-38.05	-52,965.62	-15,317.98
Net Gain/Loss	-38.05	-52,965.62	-6,237.73

This summary excludes transactions where cost basis information is not available.

Customer Service Information

Your Investment Professional: 399	Contact Information:	Customer Service Information
MICHAEL CARLIN 7098 E COCHISE RD STE 222 SCOTTSDALE AZ 85253-4557	Telephone Number: (480) 483-3489 Fax Number: (480) 483-7126 E-Mail Address: customerservice@ifgsd.com	Service Hours: Weekdays 08:00 a.m. - 05:00 p.m. PT Customer Service Telephone Number: (858) 436-3180 Web Site: www.ifgsd.com

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity	Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash, Money Funds, and FDIC Deposits 16.00% of Portfolio										
Money Market										
FEDERATED CAPITAL RESERVES										
170,761.190	09/01/10	0000820558		09/30/10	169,567.20	170,761.19	0.00	0.56	0.00%	0.00%
Total Money Market					\$169,567.20	\$170,761.19	\$0.00	\$0.56		
Total Cash, Money Funds, and FDIC Deposits										
					\$169,567.20	\$170,761.19	\$0.00	\$0.56		
Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield	
Fixed Income 73.00% of Portfolio (In CUSIP Sequence)										
U.S. Government Bonds										
FEDERAL HOME LN MTC CORP MEDIUM TERM NTS										
FED R 4.750% 12/08/10 B/E										
DTD 12/08/03 CALLABLE Moody Rating AAA S & P Rating AAA										
45,000.000	12/01/04	101,0780	45,000.00	100.8440	45,379.80	379.80	665.00	2,137.50	4.71%	
Original Cost Basis: 45,485.00										
Total U.S. Government Bonds										
45,000.000			\$45,000.00		\$45,379.80	\$379.80	\$665.00	\$2,137.50		



12635 High Blue Drive, Suite 100
San Diego, CA 92130
P858-436-3186 P858-481-9033

Brokerage

Account Statement

Statement Period: 09/01/2010 - 09/30/2010

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income (continued)									
Asset Backed Securities									
3FNMA GTD REMIC PASS THRU CTF REMIC									
TR-1993-202CL-202 J 6.500% 11/25/23 B/E			Security Identifier: 31359FDA3						
DTD 11/01/93 Moody Rating AAA			Factor: 0.42903531						
50,000.000	06/20/05	104.7500	22,470.73	112.7810	24,193.52	1,722.79	112.32		
Original Cost Basis: 52,375.00									
3FHLMC MULTICLASS MTG PARTN CTS GTD									
SER-2806 CL-2806-CG 6.000% 06/15/34 B/E			Security Identifier: 31395ACH6						
DTD 06/01/04 CLB			Factor: 1.00000000						
120,000.000	11/23/04	101.5000	121,800.00	103.6400	124,368.00	2,568.00	580.00		
Original Cost Basis: 121,800.00									
3FHLMC MULTICLASS MTG PARTN CTS GTD									
SER-2877 CL-2877-JA 5.500% 10/15/16 B/E			Security Identifier: 31396HKX7						
DTD 10/01/04 CLB			Factor: 0.58687420						
100,000.000	11/23/04	102.8750	60,374.67	102.5360	60,175.73	-198.94	260.02		
Original Cost Basis: 94,464.33									
3GNMA GTD REMIC PASS THRU CTF REMIC									
TR-2001-53 CL-53-PM 5.500% 12/20/31 B/E			Security Identifier: 383731AP0						
DTD 11/01/01			Factor: 0.25905560						
20,000.000	01/25/06	100.0000	5,181.11	103.5800	5,366.60	185.49	22.96		
Original Cost Basis: 20,000.00									
GNMA GTD REMIC PASS THRU CTS REMIC									
SER-2009-93 CL-93-AV 5.000% 10/20/39 B/E			Security Identifier: 38376KGV7						
DTD 10/01/09 CLB			Factor: 1.00000000						
100,000.000	01/04/10	100.7550	100,755.00	109.3880	109,388.00	8,633.00	402.78		
Original Cost Basis: 100,755.00									
Total Asset Backed Securities									
			\$310,581.51		\$323,491.85	\$12,910.34	\$1,378.08	\$0.00	
Municipal Bonds									
3INDIANA BD BK REV SCH SEVERANCE									
FDG-6-A 5.870% 07/15/17 B/E			Security Identifier: 4546237R4						
DTD 06/23/04 CALLABLE 07/15/14 Moody Rating BAA1 S & P Rating AA+									
25,000.000	11/29/04	105.7900	25,656.36	108.0920	27,023.00	1,366.64	306.73	1,467.50	5.43%

B0C7A477CSP20C01

PAR-02-RELL

Account Number: AGY-001922

BO paperless

#1 Brokerage Statement, 2009-2010, DATED

Created through Refining LLC, a subsidiary of The Bank of New York Mellon Corporation

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income (continued)									
Municipal Bonds (continued)									
INDIANA BD BK REV SCH SEVERANCE (continued)									
Original Cost Basis: 26,447.50									
Total Municipal Bonds			\$26,656.36		\$27,023.00	\$1,366.64	\$305.73	\$1,467.50	
Corporate Bonds									
AT&T INC GLOBAL NT 5.800% 02/15/19 B/E									
DTD 02/03/09 CALLABLE									
1ST CPN DTE 08/15/09 CPN PMT SEMI ANNUAL Moody Rating A2 S									
& P Rating A									
25,000.000	04/14/09	104.1890	25,924.28	119.2040	29,801.00	3,876.72	181.25	1,450.00	4.86%
Original Cost Basis: 26,047.25									
3COUNTY/WIDE HOME LNS INC MEDIUM TERM NTS									
MED TERM NT TRANCHE # 00295									
6.000% 01/24/18 B/E DTD 01/24/03 Moody Rating A2 S & P Rating A									
25,000.000	11/24/04	102.8810	25,000.00	100.0410	25,010.25	10.25	275.00	1,500.00	5.99%
Original Cost Basis: 25,720.20									
DISNEY WALT CO NEW MEDIUM TERM NTS									
GLOBAL NTS 5.625% 09/15/16 B/E									
DTD 09/11/06 CALLABLE Moody Rating A2 S & P Rating A									
25,000.000	04/13/09	105.8320	26,210.30	120.2420	30,060.50	3,850.20	58.59	1,406.25	4.67%
Original Cost Basis: 26,468.10									
3GENERAL ELEC CAP CORP INTERNOTES									
INTERNOTES 5.325% 12/15/19 B/E									
DTD 12/04/03 CALLABLE 10/15/10 Moody Rating AA2 S & P Rating AA+									
40,000.000	06/17/05	100.8380	40,000.00	100.4950	40,198.00	198.00	621.25	2,130.00	5.29%
Original Cost Basis: 40,335.00									
GENERAL MILS INC NT 5.200% 03/17/15 B/E									
DTD 03/17/08 CALLABLE									
1ST CPN DTE 09/17/08 CPN PMT SEMI ANNUAL Moody Rating BAA1									
S & P Rating BBB+									
25,000.000	04/13/09	103.2500	25,632.56	114.4300	28,607.50	2,974.94	46.94	1,300.00	4.54%
Original Cost Basis: 25,812.50									
HEWLETT PACKARD CO GLOBAL NT									
5.250% 03/01/12 B/E DTD 02/27/07									
CALLABLE 1ST CPN DTE 09/01/07 Moody Rating A2 S & P Rating A									
25,000.000	04/13/09	106.3740	25,803.53	106.3930	26,598.25	794.72	105.73	1,312.50	4.93%
Original Cost Basis: 26,593.50									



12635 High Blue Drive, Suite 100
San Diego, CA 92130
P858-436-3186 F858-481-9033

Portfolio Holdings (continued)

Statement Period: 09/01/2010 - 09/30/2010

Brokerage Account Statement

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income (continued)									
Corporate Bonds (continued)									
HOUSEHOLD FIN CORP INTERNOTES INFLATION PROTECTED INTERNOTE 3.790% 09/15/13 B/E									
DTD 10/02/03 1ST CPN DTE 01/15/04 Moody Rating A3 S & P Rating A									
40,000.000	11/23/04	104.2500	40,623.35	100.3350	40,134.00	-489.35	315.83	1,516.00	3.77%
Original Cost Basis: 41,700.00									
KIMBERLY CLARK CORP NT 5.000% 08/15/13 B/E DTD 08/05/03									
CALLABLE 1ST CPN DTE 02/15/04 Moody Rating A2 S & P Rating A									
25,000.000	04/13/09	107.7160	26,309.20	111.0360	27,759.00	1,449.80	156.25	1,250.00	4.50%
Original Cost Basis: 26,929.00									
KRAFT FOODS INC SR NT 6.500% 08/11/17 B/E DTD 08/13/07									
CALLABLE 1ST CPN DTE 02/11/08 Moody Rating BAA2 S & P Rating BBB-									
25,000.000	04/13/09	102.7100	25,582.23	119.8060	29,951.50	4,369.27	221.18	1,625.00	5.42%
Original Cost Basis: 25,677.50									
KROGER CO SR NT 6.150% 01/15/20 B/E DTD 01/16/08 CALLABLE									
1ST CPN DTE 07/15/08 CPN PMT SEMI ANNUAL Moody Rating BAA2 S & P Rating BBB									
25,000.000	04/14/09	101.5200	25,341.65	118.8260	29,706.50	4,364.85	320.31	1,537.50	5.17%
Original Cost Basis: 25,380.00									
LEHMAN BROTHERS HLDGS INC SUB NT 5.750% 01/03/17 B/E DTD 10/24/06									
CALLABLE SECURITY IN DEFAULT 1ST CPN DTE 01/03/07 CPN PMT SEMI ANNUAL									
ON JAN 03 AND JUL 03									
50,000.000	02/14/08	98.3010	49,150.50	0.0100	5.00	-49,145.50	0.00		
Original Cost Basis: 49,150.50									

B0673477C6F3C0C1

FAR-02/01/10

Account Number: AGY-001922
CANNOT PRINT &

B0673477C6F3C0C1

B0673477C6F3C0C1

B0673477C6F3C0C1

B0673477C6F3C0C1

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income (continued)									
Corporate Bonds (continued)									
MIDL AND BK PLC SUB INTS									
ISIN# US597433AC57 7.650% 05/01/25 B/E									
DTD 05/10/95 CALLABLE Moody Rating A2 S & P Rating A+									
33,000.000	02/07/08	105.5150	34,663.46	115.4570	38,100.81	3,437.35	1,044.86	2,524.50	6.62%
Original Cost Basis: 34,820.00									
WAL MART STORES INC GLOBAL NT									
4.500% 07/01/15 B/E DTD 06/09/05									
CALLABLE 1ST CPN DTE 01/01/06 Moody Rating A2 S & P Rating AA									
10,000.000	04/14/09	106.3000	10,494.09	113.6840	11,368.40	874.31	111.25	450.00	3.95%
Original Cost Basis: 10,630.00									
Total Corporate Bonds									
373,000.000			\$380,735.15		\$357,300.71	-\$23,434.44	\$3,458.44	\$18,001.75	
Total Fixed Income									
443,000.000			\$761,973.02		\$753,195.36	-\$8,777.66	\$5,807.25	\$21,608.75	

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities 7.00% of Portfolio								
Preferred Stocks (listed by expiration date)								
BANK ONE CAP VI PFD SECS 7 20%								
Dividend Option: Cash								
2,793.000	07/14/06	25.0710	70,022.58	25.8200	72,115.26	2,092.68	5,027.40	6.97%
Security Identifier: JPM PRO								
Total Preferred Stocks								
			\$70,022.58		\$72,115.26	\$2,092.68	\$5,027.40	
Total Equities								
			\$70,022.58		\$72,115.26	\$2,092.68	\$5,027.40	

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds 4.00% of Portfolio								
Mutual Funds								
MFS MUNICIPAL INCOME FUND CLASS A								
Open End Fund								
Dividend Option: Cash; Capital Gains Option: Reinvest								
4,722.550	10/01/09	8.4750	40,025.00	8.5700	40,472.25	447.25	1,870.12	4.62%
Security Identifier: MFLAX								
Total Mutual Funds								
			\$40,025.00		\$40,472.25	\$447.25	\$1,870.12	
Total Mutual Funds								
			\$40,025.00		\$40,472.25	\$447.25	\$1,870.12	



Independent
Financial Group, Inc.

12835 High Blue Drive, Suite 100
San Diego, CA 92130
P858-438-3180 P858-481-9033

Brokerage Account Statement

Statement Period: 09/01/2010 - 09/30/2010

Portfolio Holdings (continued)

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	\$1,042,781.79	\$1,036,544.06	-\$6,237.73	\$5,887.25	\$28,504.83

Cost Basis on fixed income securities is adjusted for amortization, accretion or principal paydowns. The method of calculation is based upon the type of fixed income security and certain attributes, obtained from sources believed to be reliable. In the event, one or more of these attributes is changed, there may be a temporary incorrect adjusted cost basis reflected until the portfolio system is amended to reflect this change. These calculations will not be performed under certain circumstances, including those involving continuously callable bonds, foreign bonds, variable rates, bonds in default, index-linked bonds, bonds sold short or bonds that have a negative yield. This information is meant as a general guide and you should consult your tax advisor in the preparation of your tax returns.

3 The cost basis of securities positions acquired prior to the availability of the PORTFOLIO EVALUATION SERVICE for this account, or delivered into this account, has been provided to PERSHING by your financial institution, and we make no representation as to the accuracy of such cost basis.

4 Total Quantity field does not include Asset Backed Securities.

Disclosures and Other Information

Pricing - Securities prices may vary from actual liquidation value. Prices shown should only be used as a general guide to portfolio value. Prices are received from various pricing services. However, pricing services are sometimes unable to provide timely information. Where pricing sources are not readily available, particularly on certain debt securities, estimated prices may be generated by a matrix system taking various factors into consideration. The pricing of listed options takes into account the last closing price, as well as the current bid and offer prices. Where securities have not been priced, such securities have not been included in the Asset Allocation information at the beginning of this statement.

The Estimated Price as of Date only appears when the price date does not equal the statement date.

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

Option Disclosure - Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Foreign Currency Transactions - Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositaries) will be billed at the rates such agents use.

Proxy Vote - Securities held by you on margin (securities not fully paid for by you) may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin will be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.